

This appendix establishes modifications to the FERC approved NERC standard CIP-007-3a for its specific application in New Brunswick. This appendix must be read with CIP-007-3a to determine a full understanding of the requirements of the standard for New Brunswick. Where the standard and appendix differ, the appendix shall prevail.

A. Introduction

- 1. Title:** Cyber Security – Systems Security Management
- 2. Number:** CIP-007-3a
- 3. Purpose:** No New Brunswick modifications
- 4. Applicability:**
 - 4.1.** No New Brunswick modifications
 - 4.1.1** No New Brunswick modifications
 - 4.1.2** No New Brunswick modifications
 - 4.1.3** No New Brunswick modifications
 - 4.1.4** No New Brunswick modifications
 - 4.1.5** No New Brunswick modifications
 - 4.1.6** No New Brunswick modifications
 - 4.1.7** No New Brunswick modifications
 - 4.1.8** No New Brunswick modifications
 - 4.1.9** No New Brunswick modifications
 - 4.1.10** No New Brunswick modifications
 - 4.1.11** Northeast Power Coordinating Council (NPCC)
 - 4.2.** No New Brunswick modifications
 - 4.2.1** No New Brunswick modifications
 - 4.2.2** No New Brunswick modifications
 - 4.2.3** No New Brunswick modifications
- 5. Effective Date:** Upon approval by the New Brunswick Energy and Utilities Board

B. Requirements

- R1.** No New Brunswick modifications
 - R1.1.** No New Brunswick modifications
 - R1.2.** No New Brunswick modifications

- R1.3.** No New Brunswick modifications
- R2.** No New Brunswick modifications
 - R2.1.** No New Brunswick modifications
 - R2.2.** No New Brunswick modifications
 - R2.3.** No New Brunswick modifications
- R3.** No New Brunswick modifications
 - R3.1.** No New Brunswick modifications
 - R3.2.** No New Brunswick modifications
- R4.** No New Brunswick modifications
 - R4.1.** No New Brunswick modifications
 - R4.2.** No New Brunswick modifications
- R5.** No New Brunswick modifications
 - R5.1.** No New Brunswick modifications
 - R5.1.1.** No New Brunswick modifications
 - R5.1.2.** No New Brunswick modifications
 - R5.1.3.** No New Brunswick modifications
 - R5.2.** No New Brunswick modifications
 - R5.2.1.** No New Brunswick modifications
 - R5.2.2.** No New Brunswick modifications
 - R5.2.3.** No New Brunswick modifications
 - R5.3.** No New Brunswick modifications
 - R5.3.1.** No New Brunswick modifications
 - R5.3.2.** No New Brunswick modifications
 - R5.3.3.** No New Brunswick modifications
- R6.** No New Brunswick modifications
 - R6.1.** No New Brunswick modifications
 - R6.2.** No New Brunswick modifications
 - R6.3.** No New Brunswick modifications
 - R6.4.** No New Brunswick modifications
 - R6.5.** No New Brunswick modifications
- R7.** No New Brunswick modifications
 - R7.1.** No New Brunswick modifications
 - R7.2.** No New Brunswick modifications
 - R7.3.** The Responsible Entity shall maintain records that
such assets were disposed of or redeployed in

accordance with documented procedures. (Retirement of this requirement becomes effective upon New Brunswick Energy and Utilities Board approval.)

- R8.** No New Brunswick modifications
 - R8.1.** No New Brunswick modifications
 - R8.2.** No New Brunswick modifications
 - R8.3.** No New Brunswick modifications
 - R8.4.** No New Brunswick modifications
- R9.** No New Brunswick modifications

C. Measures

- M1.** No New Brunswick modifications
- M2.** No New Brunswick modifications
- M3.** No New Brunswick modifications
- M4.** No New Brunswick modifications
- M5.** No New Brunswick modifications
- M6.** No New Brunswick modifications
- M7.** No New Brunswick modifications
- M8.** No New Brunswick modifications
- M9.** No New Brunswick modifications

D. Compliance

- 1. Compliance Monitoring Process**
 - 1.1. Compliance Enforcement Authority**
 - 1.1.1** New Brunswick Energy and Utilities Board for New Brunswick Responsible Entities
 - 1.1.2** NERC for NPCC
 - 1.1.3** No New Brunswick modifications
 - 1.2. Compliance Monitoring Period and Reset Time Frame**
 - No New Brunswick modifications
 - 1.3. Compliance Monitoring and Enforcement Processes**
 - No New Brunswick modifications
 - 1.4. Data Retention**
 - 1.4.1** No New Brunswick modifications
 - 1.4.2** No New Brunswick modifications

1.4.3 No New Brunswick modifications

1.5. Additional Compliance Information

2. Violation Severity Levels (To be developed later.)

E. Regional Variances

No New Brunswick modifications

Version History (maintained by NBEUB)

Version	NBEUB Approval Date	NB Appendix Effective Date	Change Tracking	Comments
0	06/11/14	06/11/14	1. Revised section A to identify the Regional Entity as NPCC 2. Revised section A to change the effective date to the NBEUB approved effective date of 10/01/11 3. Revised section D to clarify the NBEUB as the entity having compliance enforcement authority for NB and replaced ERO with NERC and	Approved Under NBEUB Matter 245

NB Appendix
CIP-007-3a-NB-0 - Cyber Security – Systems Security Management

			Regional Entity with NPCC 4. Revised R7.3 to change retirement date to the NBEUB approved retirement date of 06/11/14	

Appendix 1

No New Brunswick modifications